

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

NORTHWEST BIOTHERAPEUTICS, INC.,

Plaintiff,

v.

CANACCORD GENUITY LLC, CITADEL  
SECURITIES LLC, G1 EXECUTION  
SERVICES LLC, GTS SECURITIES LLC,  
INSTINET LLC, LIME TRADING CORP.,  
SUSQUEHANNA INTERNATIONAL  
GROUP, LLP, and VIRTU AMERICAS  
LLC,

Defendants.

Case No. 1:22-cv-10185 (GHW) (GWG)

**RULE 7.1 CORPORATE DISCLOSURE STATEMENT**

Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, and to enable judges of this Court to determine the need for recusal, the undersigned counsel states that Defendant Susquehanna International Group, LLP has no corporate parent and no publicly held corporation owns 10% or more of its stock. Defendant G1 Execution Services LLC is wholly owned by G1X Holdings, LLC and no publicly held corporation owns 10% or more of its stock.

Dated: January 13, 2023

BALLARD SPAHR LLP

/s/ Marjorie J. Peerce

Marjorie J. Peerce

1675 Broadway, 19<sup>th</sup> Floor

New York, NY 10019-5820

Tel: (212) 223-0200

Fax: (212) 223-1942

peercem@ballardspahr.com

*Attorney for Defendants Susquehanna  
International Group, LLP and G1 Execution  
Services LLC*